



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE  
POWER OF ATTORNEY

Docket No.  
13220.008001; P5843

Name of Applicant: **SUN MICROSYSTEMS, INC.**  
Address of Applicant: **901 San Antonio Road, MS-PAL01-521**  
**Palo Alto, California 94303**

Title: **CHANGE SEQUENCE NUMBER GENERATOR**

Serial No., if Any: **09/993,939**

Filed: **November 6, 2001**

**TO THE ASSISTANT COMMISSIONER FOR PATENTS**

The Assistant Commissioner for Patents  
Washington, D.C. 20231

Honorable Sir:

I hereby appoint:

**Jonathan P. Osha, Reg. No. 33,986; Alan D. Rosenthal, Reg. No. 27,833; Richard A. Fagin, Reg. No. 39,182;  
Thomas K. Scherer, Reg. No. 45,079; Scott W. Hejny, Reg. No. 45,882; Y. Renee Alsandor, Reg. No. 45,883; Jeffrey S.  
Bergman, Reg. No. 45,925; Robert P. Lord, Reg. No. 46,479; T. Chyau Liang, Reg. No. 48,885; William E.  
Hickman, Reg. No. 46,771; Wasif H. Qureshi, Reg. No. P51,048 of ROSENTHAL & OSHA L.L.P.; and**

**Kenneth Olsen, Reg. No. 26,493; Timothy J. Crean, Reg. No. 37,116; Alexander E. Silverman, Reg. No. 37,940; Anirma  
Rakshpal Gupta, Reg. No. 38,275; Sean P. Lewis, Reg. No. 42,798; Michael J. Schallop, Reg. No. 44,319; Bernice B.  
Chen, Reg. No. 42,403; Noreen A. Krall, Reg. No. 39,734; Monica D. Ward, Reg. No. 40,696; Marc D. Foodman, Reg.  
No. 34,110; Elaine K. Lee, Reg. No. 41,936; Hugh H. Matsubayashi, Reg. No. 43,779; Paul D. Sorkin, Reg. No. 39,039;  
Marilyn E. Glaubensklee, Reg. No. 35,521; Andrew C. Chen, Reg. No. 43,544; Jeffrey L. Myers, Reg. No. 44,252, and  
Pavel Pogodin, Reg. No. P48,205 of SUN MICROSYSTEMS, INC.**

as principal attorneys to prosecute this application and to transact all business in the Patent and Trademark  
Office connected therewith.

Please direct all future correspondence to: By:

**Jonathan P. Osha**

**ROSENTHAL & OSHA L.L.P.**

**1221 McKinney, Suite 2800**

**Houston, Texas 77010**

**Telephone: (713) 228-8600**

**Facsimile: (713) 228-8778**



**Kenneth Olsen, Reg. No. 26,493**

**Vice President Intellectual Property**

**Sun Microsystems, Inc.**

**901 San Antonio Road, M/S PAL01-521**

**Palo Alto, Ca 94303**

Dated: December 20, 2001

**CERTIFICATE OF MAILING BY FIRST CLASS MAIL (37 CFR 1.8)**Applicant(s): **Gordon GOOD, et al.**

Docket No.

13220.008001; P5843

Serial No.  
09/993,939Filing Date  
November 6, 2001FEB 27 2002  
PATENT & TRADEMARK OFFICE  
U.S. GOVERNMENT

Examiner

Group Art Unit  
2171

Invention:

**CHANGE SEQUENCE NUMBER GENERATOR***COPY OF PAPERS  
ORIGINALLY FILED*I hereby certify that this **Response to Notice to File Missing Parts of Application**  
(Identify type of correspondence)is being deposited with the United States Postal Service as first class mail in an envelope addressed to: The  
Assistant Commissioner for Patents, Washington, D.C. 20231 on

02/15/2002

(Date)

**Grace A. Wise**

(Typed or Printed Name of Person Mailing Correspondence)

*Grace A. Wise*  
(Signature of Person Mailing Correspondence)

Note: Each paper must have its own certificate of mailing.

*m*  
~~Section 9~~

<b>Response To Notice To File Missing Parts Of Application</b> <b>Filing Date Granted (PTO-1533)(Large Entity)</b>	Docket No. 13220.008001; P5843
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In Re Application Of: **Gordon GOOD, et al.**

**FEB 27 2002**

Serial No.

**09/993,939**

Filing Date

**November 6, 2001**

Examiner

Group Art Unit

**2171**

Invention:

**CHANGE SEQUENCE NUMBER GENERATOR**

**TO THE ASSISTANT COMMISSIONER FOR PATENTS:**

**Box Missing Parts**

This is a response to the Notice to File Missing Parts of Application - Filing Date Granted (PTO-1533) mailed on

**December 7, 2001**

**Date**

Enclosed herewith for filing are the following:

- A copy of the Notice to File Missing Parts of Application - Filing Date Granted (PTO-1533). **(REQUIRED)**
- An oath or declaration in compliance with 37 CFR 1.63, including residence information and identifying the application by the above Application Number and Filing Date.
- A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date.
- An oath or declaration in compliance with 37 CFR 1.63 listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date.
- A verified English translation of the non-English language application papers as originally filed. It is requested that this translation be used as the copy for examination purposes in the United States Patent and Trademark Office.
- Other (list):

**Power of Attorney**

03/05/2002 SMINASS1 00000108 09993939

03 FC:115

110.00 OP

Response To Notice To File Missing Parts Of Application  
Filing Date Granted (PTO-1533) (Large Entity)

Docket No.  
13220.008001; P5843

In Re Application Of: **Gordon GOOD, et al.**

FEB 27 2002

PATENT & TRADEMARK OFFICE  
U.S. DEPARTMENT OF COMMERCE

Serial No.  
09/993,939

Filing Date  
November 6, 2001

Examiner

Group Art Unit  
2171

Invention:

**CHANGE SEQUENCE NUMBER GENERATOR**

COPY OF PAPERS  
ORIGINALLY FILED

TO THE ASSISTANT COMMISSIONER FOR PATENTS:

Box Missing Parts

Completion of application fees as calculated below:

<input checked="" type="checkbox"/> Utility application filing fee	<u>\$740.00</u>
<input type="checkbox"/> Design application filing fee	
<input checked="" type="checkbox"/> Total number of independent claims = <u>3</u>	
<input checked="" type="checkbox"/> Total number of claims = <u>18</u>	
<input type="checkbox"/> Multiple dependent claims	
<input checked="" type="checkbox"/> Surcharge for late payment of filing fee and/or late filing of original declaration or oath	<u>\$130.00</u>
<input type="checkbox"/> Petition and fee for filing by other than all the inventors or a person not the inventor	
<input type="checkbox"/> Fee for processing an application filed with a non-English language specification	
<input type="checkbox"/> Fee for processing and retention of application	

Total completion of application fees \$870.00

This is a request under the provisions of 37 CFR 1.136(a) to extend the period for filing a response to the above-identified Notice to File Missing Parts of Application. The requested extension is as follows (check time period desired). If an additional time extension is required, please consider this a petition therefor.

One month  Two months  Three months  Four months  Five months

from: February 7, 2002 *Date* until: March 7, 2002 *Date*

Total time extension fees \$110.00

Total fees due \$980.00

Response To Notice To File Missing Parts Of Application  
Filing Date Granted (PTO-1533) (Large Entity)

Docket No.  
13220.008001; P5843

In Re Application Of: **Gordon GOOD, et al.**

FEB 27 2002

PATENT & TRADEMARK OFFICE  
U.S. DEPARTMENT OF COMMERCE  
SC35

Serial No.  
09/993,939

Filing Date  
November 6, 2001

Examiner

Group Art Unit  
2171

Invention:

**CHANGE SEQUENCE NUMBER GENERATOR**

COPY OF PAPERS  
ORIGINALLY FILED

TO THE ASSISTANT COMMISSIONER FOR PATENTS:

Box Missing Parts

The fee of **\$980.00** is to be paid as follows:

- A check in the amount of the fee is enclosed.
- The Commissioner is hereby authorized to charge any fees which may be required, or credit any overpayment, to Deposit Account No. 50-0591  
A duplicate copy of this sheet is enclosed.
- If an additional extension of time is required, please consider this a petition therefor and charge any additional fees which may be required to Deposit Account No. 50-0591  
A duplicate copy of this sheet is enclosed.



Signature

Jonathan P. Osha, Reg. No.: 33,986  
Rosenthal & Osha L.L.P.  
1221 McKinney, Suite 2800  
Houston, Texas 77010  
Telephone No.: (713) 228-8600  
Facsimile No.: (713) 228-8778

Dated: February 15, 2002

I certify that this document and fee is being deposited on with the U.S. Postal Service as first class mail under 37 C.F.R. 1.8 and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

*Signature of Person Mailing Correspondence*

*Typed or Printed Name of Person Mailing Correspondence*

CC:



**UNITED STATES PATENT AND TRADEMARK OFFICE**

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/993,939	11/06/2001	Gordon Good	13220.008001; P5843

**CONFIRMATION NO. 5488**  
**FORMALITIES LETTER**

Rosenthal & Osha L.L.P.  
700 Louisiana, Suite 4550  
Houston, TX 77002



Date Mailed: 12/07/2001

**NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION**

**FILED UNDER 37 CFR 1.53(b)**

*Filing Date Granted*

COPY OF PAPERS  
ORIGINALLY FILED

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 740 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).*
- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 870.

*A copy of this notice **MUST** be returned with the reply.*

*[Signature]*  
Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

03/05/2002 SMINASS1 00000108 09993939

01 FC:101  
02 FC:105

740.00 OP  
130.00 OP